

Columbia Threadneedle (Lux) I
Société d'Investissement à Capital Variable
Registered Office: 31, Z.A. Bourmicht, L-8070 Bertrange
Grand Duchy of Luxembourg
R.C.S. Luxembourg B 50 216
(the “SICAV”)

NOTICE TO THE SHAREHOLDERS OF THE SICAV

IMPORTANT

This document is important and requires your immediate attention. If you are in any doubt about the content of this document, you should seek independent professional advice.

If you have sold or transferred your Shares in Columbia Threadneedle (Lux) I, please pass this document at once to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible.

The board of directors of the SICAV accept responsibility for the accuracy of the information contained in this notice.

30 April 2024

Dear Shareholder,

As you are an investor in one or more of the Portfolios of the SICAV, we are writing to inform you of (1) changes to the provision of pre-contractual information pursuant to Article 8 or 9 of the Sustainable Finance Disclosure Regulation (the “SFDR”) and (2) certain changes to the SICAV and the Portfolios.

For any capitalised terms that are not specifically defined in this letter, please refer to the definition in the Hong Kong offering documents.

These changes will not affect the way the Portfolios are managed nor change the risk profile of the Portfolios.

What is changing?

1. Availability of pre-contractual information pursuant to SFDR

The annexes which contain the pre-contractual information pursuant to the SFDR regulatory technical standard in respect of Portfolios that fall within the scope of Article 8 or 9 of the SFDR (the “**Annexes**”) will no longer form part of the Hong Kong offering documents. The Annexes in respect of the Article 8 or 9 Portfolios which are authorised by the SFC will be available to Hong Kong investors (in English language only) free of charge on the website www.columbiathreadneedle.com¹ and will not be appended to the Prospectus distributed in Hong Kong.

¹ Please note that this website has not been reviewed by the SFC. The website is not specifically directed to Hong Kong residents and may contain information with respect to non-SFC authorised funds of the SICAV which are not available for public offer in Hong Kong.

2. Other enhancements and miscellaneous updates

The Hong Kong offering documents have also been updated to reflect other enhancement of disclosures and miscellaneous updates, including but not limited to:

- Updates to the list of directors of the SICAV, list of directors and conducting persons of the Management Company, and address of the Registrar and Transfer Agent;
- Editorial updates to the language regarding each Portfolio's ancillary liquid assets and streamlining of disclosures regarding each Portfolio's deviation from its relevant index;
- Enhancements of disclosures to include additional risk factors in respect of market risk, the Russia-Ukraine conflict, inflation, investment style, and ESG investment exclusions, as well as streamlining of obsolete risk disclosures;
- Clarificatory update that Shares of each Portfolio are issued to a thousandth of a share (3 decimal places), and that fractional shares receive their pro rata portion of any dividends, reinvestments, and liquidation proceeds, but do not carry voting rights;
- Update to address of Columbia Management Investment Advisers, LLC;
- Update to reflect that dealings in Shares shall be forbidden where the SICAV does not have a depositary, or in cases where the SICAV declares bankruptcy, applies for a scheme of composition with creditors (*bénéfice de concordat*), suspension of payments (*sursis de paiement*) or controlled management (*gestion contrôlée*), or upon occurrence of any such event affecting the Depositary;
- Update to reflect additional obligation of Depositary to take all measures necessary for proper preservation of the interests of the Shareholders;
- ESG-related updates and enhancement of disclosures;
- Clarificatory update to Hong Kong taxation disclosures;
- Updates to the contact details of the Hong Kong Representative; and
- Editorial updates to disclosures on the investment advisory arrangements applicable to the Portfolios.

Please refer to the Hong Kong offering documents for further details.

What do I need to do?

You do not need to do anything as a result of these changes, which do not result in a change to the way in which the Portfolios are managed. Shareholders who are unsure of the impact of the relevant changes should consult their professional advisor.

Additional information

The current Hong Kong offering documents, the articles of association of the SICAV and the most recent annual and semi-annual reports are available free of charge at the office of the Hong Kong Representative during normal business hours and on the website www.columbiathreadneedle.com¹. The updated versions of the articles of association and the Hong Kong offering documents which will reflect the changes detailed above will be also available in the same manner in due course.

Should you have any questions on this notice, please contact the Hong Kong Representative, HSBC Institutional Trust Services (Asia) Limited, at 1 Queen's Road Central, Hong Kong, Attention: Transfer Agency, email: ifshkbfaxishkrep@hsbc.com.hk, fax: (852) 3409 2697. Alternatively, for further assistance, please contact your financial adviser.

Yours faithfully,

The Board

天利（盧森堡）
Société d'Investissement à Capital Variable
註冊辦事處：31, Z.A. Bourmicht, L-8070 Bertrange
Grand Duchy of Luxembourg
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致 SICAV 股東的通知書

重要事項

此乃重要文件，務須閣下即時垂注。如閣下對本文件的內容有任何疑問，應尋求獨立專業意見。

如閣下已出售或轉讓閣下於天利（盧森堡）的股份，請立即將本文件交予買方或承讓人，或經手出售或轉讓的股票經紀、銀行或其他代理人，以便盡快轉交買方或承讓人。

SICAV 董事會願就本通知書所載資料的準確性承擔責任。

親愛的股東：

由於閣下為 SICAV 一個或多個組合基金的投資者，我們現致函通知閣下(1) 對於根據可持續金融披露規例（「SFDR」）第 8 條或第 9 條提供的訂約前資料的更改及(2) SICAV 及組合基金的若干更改。

任何詞彙如於本函件未有特別界定，請參閱香港銷售文件內的定義。

此等更改不會影響組合基金的管理方式或改變組合基金的風險概況。

有甚麼變更？

1. 根據 SFDR 可提供的訂約前資料

載有根據 SFDR 監管技術標準就屬於 SFDR 第 8 條或第 9 條範圍內的組合基金提供的訂約前資訊之附件（「附件」）將不再為香港銷售文件的一部分。有關獲證監會認可的第 8 條及第 9 條組合基金的附件（僅備有英文版）將可供香港投資者從網站 www.columbiathreadneedle.com¹免費索取，並且不附於在香港派發的說明書。

2. 其他加強披露及雜項更新

香港銷售文件亦已作出更新，以反映其他加強披露及雜項更新，包括但不限於：

- 更新 SICAV 董事的名單、管理公司董事和執行人員的名單，以及註冊處與過戶代理人的地址；
- 對有關每一組合基金的輔助性流動資產的措辭作出編輯上的更新及簡化有關每一組合基金與其相關指數的偏差之披露；
- 加強披露以納入有關市場風險、俄羅斯-烏克蘭衝突、通脹、投資風格及 ESG 投資排除的新增風險因素，以及簡化過時的風險披露；

¹ 請注意，此網站並未經證監會審閱。該網站並非特別為香港居民而設，其可能載有並未經證監會認可且不可在香港作公開發售的基金之資料。

- 澄清性更新，每一組合基金的股份發行至千分之一股（3 個小數位），而零碎股份按比例獲得任何股息、再投資及清盤所得款項，但不附有投票權；
- 更新 Columbia Management Investment Advisers, LLC 的地址；
- 更新，以反映倘若 SICAV 並無存管人，或倘若 SICAV 宣佈破產、申請與債權人達成和解方案（*bénéfice de concordat*）、暫停付款（*sursis de paiement*）或控制管理（*gestion contrôlée*），或發生影響存管人的任何事件，則股份交易將被禁止；
- 更新，以反映存管人採取一切必要措施妥善保護股東的利益之新增責任；
- ESG 相關更新及加強披露；
- 澄清性更新香港稅務的披露；
- 更新香港代表的聯絡詳情；及
- 對適用於組合基金的投資顧問協議書的披露作出編輯上的更新。

請參閱香港銷售文件以了解進一步詳情。

本人需要採取甚麼行動？

閣下無需因此等並不導致組合基金的管理方式有所變更的更改而採取任何行動。股東如不確定相關更改的影響，應諮詢其專業顧問。

其他資料

現有的香港銷售文件、SICAV 的註冊成立章程及最新的年度及半年度報告在正常辦公時間內在香港代表的辦事處及網站 www.columbiathreadneedle.com¹ 可供免費索取。註冊成立章程及香港銷售文件的已更新版本將反映上文詳載的更改，亦將在適當時候以相同形式可供索取。

閣下如對本通知書有任何疑問，請聯絡香港代表 – 滙豐機構信託服務（亞洲）有限公司，地址為香港皇后大道中 1 號（收件人：Transfer Agency）；電郵：ifshkbfaxishkrep@hsbc.com.hk；傳真：(852) 3409 2697。或者，如需進一步協助，請聯絡閣下的財務顧問。

董事會

謹啟

2024 年 4 月 30 日